

MICHAEL R. MARINO

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CAREER HIGHLIGHTS

- Operational Data Analyst
- Internal/Managerial Reporting
- Business Intelligence Visualization
- Audit Engagement/Project Leader
- Regulatory Compliance Professional
- Database Management
- Innovation Lead
- Power BI/Tableau
- Team Leader and Mentor

EXPERIENCE WITH FINANCIAL SERVICES COMPLIANCE, DATA ANALYTICS AND REPORTING

Wells Fargo – Internal Audit

Philadelphia, PA

Principal Auditor – Operations and Strategy Team - Consumer and Small Business Banking

November 2019 - Present

- Operationalized business intelligence solutions and data visualizations for reporting on key metrics related to internal audit engagements, regulator examination requests, business entities, and team member performance
- Developed and distributed recurring analytical reporting and dashboards used to inform and engage decision makers at all levels in areas such as audit plan management, issue management, staff efficiency, and enterprise risk management
- Generate clear and accurate ad hoc reporting utilizing various risk indicators and historical audit coverage; used to identify strengths and target weaknesses in coverage strategy
- Performed data abstraction, cleansing, validation, and modeling on data across multiple systems that provided a new approach to key regulatory compliance metric reporting across Internal Audit
- Provide consulting and analytics support on data-related projects and engagements as necessary
- Acted as major collaborator with Operational Innovation team throughout the development of new internal Audit Management System of record
- Two time Internal Audit Innovation Challenge Award Winner

Principal Auditor (Officer) – Consumer Compliance Audit Team

December 2016 – November 2019

- Audit team leader and regulatory compliance testing specialist responsible for executing audit engagements of regulatory compliance across Consumer Banking and Consumer Lending operating groups, following WF audit methodology
- Manage the execution of all phases of the audit engagement including: planning, risk assessments, test plan development, staff oversight, escalating issues and process improvement recommendations to senior business leadership
- Execute comprehensive compliance reviews covering multiple Federal regulations and requirements, including issue validation testing
- Responsible for conducting detailed business research related to regulatory risk environment, major requirements, issues, root causes, and business line risk/controls, then communicating results to business leaders
- Identify audit coverage opportunities and coordinate testing with ongoing operational audit work to enhance testing procedures
- Comprehensive knowledge of company structure, company policy, audit methodology, business line risk and enterprise systems of record and platforms with skills in data extraction via custom ad hoc reporting/system queries
- Supervise team members through mentoring and feedback sessions, aimed at addressing their unique needs and provide direction/resources to promote their development and ensure they meet established expectations

Senior Auditor – Wholesale Audit Team

March 2013 - December 2016

- Provide critical challenge while performing various components of audits, executed testing to determine process and control effectiveness, communicated results of audit findings, and lead smaller scale audits and projects
- Drove presentations to senior management and key business partners using fact-based reporting on audit scope, status, observations, and recommendations
- Provided audit coverage of regional risk governance, oversight controls, operational risks, key risk drivers, MRA/corrective action validation, and first and second line of defense control evaluations
- Acted as Auditor-in-Charge, co-AIC and staff on international audit projects including: Int'l Risk Management (Canada and LatAm), EMEA Operations, FX-ITM, Int'l Trade Sales, and Cayman Islands Branch

EDUCATION

University of Pennsylvania, Philadelphia, PA

June 2021

Full-Stack Web Development Boot Camp

Duquesne University, Pittsburgh, PA

Graduated 2007

Bachelor of Science in Business Administration: Accounting

CONTINUED EDUCATION AND ENGAGEMENT

- Recognized by senior level management for work on data analysis and regulatory compliance dashboard application
- Department Innovation Challenge Award Winner
- Advanced skills with Microsoft Access, Excel, Tableau, Word, Outlook, OneNote, PowerPoint
- Received multiple On-The-Spot, Golden Attitude, Star Team Nominee awards in 2019 & 2020 for greatly exceeding expectations
- Educational Certificates in SQL, Python, Relational Database Management, VBA, PHP, HTML, CSS, JavaScript
- Excellent written and verbal communication skills